

Message Text

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SUBJECT: OVERALL REVIEW OF SCIENTIFIC AND TECHNOLOGICAL COOPERATION

REF: (A) TOKYO 00954, (B) STATE 032839, (C) STATE 042374, (D)

TOKYO 2510

SUMMARY: DISCUSSIONS WITH FONOFF HAVE PRODUCED CONSENSUS ON RECOMMENDED APPROACH TO CONDUCTING PANEL MEETING, AND ON OTHER DETAILS OF REVIEW. ACTION REQUESTED: 1) COMMENTS ON RECOMMENDED PROCEDURES AND GENERAL SCHEDULE FOR PANEL MEETINGS, INCLUDING MEETING WITH INDUSTRIAL RESEARCH DIRECTORS, 2) NAMES AND SCHEDULES OF ALL US TEAM MEMBERS, 3) AGREEMENT TO ISSUANCE OF JOINT PRESS RELEASE ON MARCH 7, 4) PROVIDE USG AGENCY INVENTORIES OF S&T COOPERATION FOR TRANSMITTAL TO GOJ, AND 5) ANY ADVICE OR SPECIFIC REQUESTS FOR PRE-MEETING VISIT PROGRAM. END SUMMARY.

1. SCICOUNS HAS HELD SEVERAL DISCUSSIONS WITH FONOFF ON FORMAT AND AGENDA OF REVIEW PANEL MEETINGS TO BE HELD WEEK OF APRIL 7, AS WELL AS OVERALL PROCEDURES FOR REVIEW. ON BASIS THESE DISCUSSIONS, SUBSTANTIAL CONSENSUS HAS EMERGED ON DESIRABLE PROCEDURES TO BE FOLLOWED, SUBJECT OF COURSE TO COMMITTEE'S OWN WISHES. RESUME OF THIS APPROACH IS PROVIDED BELOW, FOR REVIEW BY DEPT AND PANEL MEMBERS. IT IS IMPORTANT THAT EMBASSY RECEIVE COMMENTS AND GUIDANCE, ESPECIALLY ANY OBJECTIONS TO OVERALL APPROACH, WELL BEFORE SCICOUNS SCHEDULED DEPARTURE ON MARCH 8.

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2. GENERAL

AS REFLECTED IN TALKING PAPERS EXCHANGED BY GOJ AND USG AND IN AGREED PRESS RELEASE (REF A AND B) TO BE ISSUED SOON, EMPHASIS OF REVIEW IS TO BE FORWARD - LOOKING AND EXPANSIVE, RATHER THAN A CRITIQUE OF CURRENT COOPERATIVE PROGRAMS. WHILE RECOMMENDATION FOR IMPROVEMENT, BOTH SUBSTANTIVELY AND ADMINISTRATIVELY, OF CURRENT PROGRAMS IS AN IMPORTANT OBJECTIVE OF REVIEW, WE BELIEVE THAT FOR BOTH SUBSTANTIVE AND TACTICAL REASONS, IT MUST BE TREATED AS OF SECOND PRIORITY. THIS PRINCIPLE HAS IMPORTANT IMPACT ON REVIEW COMMITTEE'S PROCEDURES. IN PARTICULAR, IT MEANS THAT, WHILE INFORMATION ON EXISTING COOPERATIVE PROGRAMS AND ACTIVITIES IS ESSENTIAL TO COMMITTEE'S WORK, BASIC RAW MATERIAL FOR REVIEW IS KNOWLEDGE OF THE RESPECTIVE S&T PROGRAMS OF BOTH COUNTRIES, REGARDLESS OF WHETHER THESE ARE PRESENTLY THE SUBJECT OF COOPERATION.

3. WE BELIEVE COMMITTEE MEETINGS DURING WEEK OF APRIL 7 SHOULD PROCEED AS FOLLOWS: A) A SERIES OF BRIEFINGS, ORGANIZED BY FIELDS OF SCIENTIFIC AND TECHNOLOGICAL INTEREST, PRESENTED BY GOJ OFFICIALS. THESE BRIEFINGS, OF ABOUT 30 MINUTES EACH, WOULD INCLUDE BRIEF RESUME OF ON-GOING COOPERATION IN FIELD, BUT EMPHASIS WOULD BE ON JAPANESE R&D PROGRAMS, WITH BRIEFER ENCOURAGED TO PUT FORWARD RECOMMENDATIONS WHERE FARTHER COOPERATION DESIRABLE. EXAMPLES OF FIELDS TO BE COVERED ARE SPACE, NUCLEAR ENERGY, NON-NUCLEAR ENERGY, FUNDAMENTAL RESEARCH (PERHAPS BROKEN DOWN INTO PHYSICAL AND LIFE SCIENCES), TRANSPORTATION, INDUSTRIAL TECHNOLOGY, ETC. OPPORTUNITY FOR QUESTIONS WOULD BE INCLUDED. SINCE BRIEFINGS WOULD BE VERY ABBREVIATED, THEY WOULD HIT ONLY HIGH SPOTS, BUT SELECTIVITY THIS WOULD IMPOSE IS PROBABLY DESIRABLE. CHOICE OF FIELDS IS OBVIOUSLY OF MAJOR IMPORTANCE IN DETERMINING STRUCTURE OF COMMITTEE'S WORK, AND WASHINGTON COMMENTS WILL BE APPRECIATED. B) AT CONCLUSION OF BRIEFINGS (PROBABLY ABOUT 8-10 IN NUMBER, COVERING 1 1/2 DAYS), COMMITTEE WOULD HOLD FREE DISCUSSION ON EACH FIELD, COVERING ONE DAY IN TOTAL, AIMED AT IDENTIFYING POSSIBILITIES FOR NEW COOPERATION, OR STRENGTHENING OR MODIFYING EXISTING COOPERATION. IN ADDITION TO COMMITTEE DISCUSSION ORGANIZED ON SUBJECT MATTER LINES, AGENDA TOPIC DEVOTED TO MEANS OF COOPERATION WOULD BE DESIRABLE; I.E., DISCUSSION OF MERITS

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OF JOINT PROJECTS, JOINT RESEARCH, INFORMATION EXCHANGE, ETC. C) COMMITTEE WOULD THEN RECESS FOR DAY TO DAY AND A HALF, DURING WHICH JOINT SECRETARIAT WOULD PREPARE RECORD OF COMMITTEE DISCUSSIONS. DURING RECESS US PANEL MEMBERS COULD MAKE ADDITIONAL PLANT VISITS AND MEET WITH INDUSTRIAL RESEARCH DIRECTORS (SEE BELOW); D) FOLLOWING RECESS, COMMITTEE WOULD REVIEW RECORD, AND USING IT AS BASIS OF DISCUSSION, WOULD FORMULATE GUIDANCE FOR USE OF SECRETARIAT IN PREPARING DRAFT OF COMMITTEE REPORT,

WHICH WOULD BE REVIEWED BY PANEL MEMBERS DURING INTERVAL BETWEEN FIRST AND SECOND PANEL MEETINGS, AND, THROUGH SUCCESSIVE ITERATIONS, MODIFIED TO REFLECT COMMITTEE VIEWS. SECOND PANEL MEETING IN WASHINGTON WOULD INVOLVE JOINT REVIEW AND REFINEMENT OF REPORT.

4. ONE SHORTCOMING OF ABOVE PROCEDURE IS THAT IT EMPHASIZES PRESENTATION OF JAPANESE S&T PROGRAMS RATHER THAN A BALANCED PRESENTATION AND CONSIDERATION OF S&T PROGRAMS OF BOTH COUNTRIES AS BASIS FOR IDENTIFYING NEW COOPERATIVE ACTIVITIES. THIS DEFECT IS INHERENT IN FACT THAT FIRST MEETING OCCURS IN JAPAN, TO BE FOLLOWED ONLY MUCH LATER BY US SESSION, AND COULD NOT BE FULLY OVERCOME BY ANY MEANS SHORT OF BACK-TO-BACK MEETING, A PROCEDURE WHICH WE ASSUME IS INFLEASIBLE. SHORTCOMING CAN BE OVERCOME TO SOME EXTENT BY SCHEDULING SOME PRESENTATIONS ON US PROGRAMS BY US PANEL MEMBERS DURING FIRST PHASE OF MEETING. IN ADDITION, WE BELIEVE, AND JAPANESE DO NOT ENTIRELY DISAGREE, THAT JAPANESE UNDERSTANDING OF US S&T PROGRAMS IS GENERALLY BETTER THAN OURS OF GOJ PROGRAMS.

5. IN DISCUSSIONS WITH FONOFF, IT BECAME CLEAR THAT WHILE THEY AGREE THAT COMMITTEE IS COMPETENT "TO EXPLORE THE ROLE OF PRIVATE SECTORS IN BOTH COUNTRIES," THEY ARE NOT PREPARED TO ARRANGE FOR DIRECT CONTACT BETWEEN PANEL AND JAPANESE INDUSTRY. SCICOUNS SUGGESTED A JOINT MEETING OF AT LEAST OUTSIDE MEMBERS OF PANEL WITH GROUP OF 6 TO 8 JAPANESE INDUSTRY RESEARCH DIRECTORS OR

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VICE PRESIDENTS, REPRESENTING MAJOR INDUSTRIAL FIELDS SUCH AS ELECTRONICS, HEAVY INDUSTRY, METALLURGICAL, CHEMICAL, ETC. FONOFF PREFERS THAT SUCH MEETING TAKE PLACE ONLY BETWEEN US SIDE OF PANEL AND JAPANESE INDUSTRY, AND WE HAVE ESTABLISHED THAT KEIDANREN IS PREPARED TO ARRANGE SUCH A SESSION. BY SAME TOKEN, FONOFF PREFERS THAT US PANEL VISITS TO PRIVATE FIRMS AND RESEARCH LABORATORIES BE ARRANGED BY EMBASSY, WHICH WE ARE PREPARED TO DO. IN ORDER TO MAKE CONCRETE ARRANGEMENTS, IT IS IMPORTANT THAT WE RECEIVE SOONEST NAMES AND SCHEDULES OF ALL PANEL MEMBERS. ON RECEIPT OF THIS INFORMATION, EMBASSY WILL ARRANGE VISIT SCHEDULES FOR EACH PARTICIPANT. WE PREFER TO HAVE FLEXIBILITY TO DECIDE ON AND ARRANGE THESE PROGRAMS LOCALLY, BUT ARE PREPARED TO TAKE INTO ACCOUNT ANY SPECIFIC REQUESTS. IN GENERAL, VISITS WILL COVER INDUSTRIAL PLANTS INVOLVING ADVANCED TECHNOLOGY, INDUSTRIAL RESEARCH LABORATORIES, GOVERNMENT RESEARCH INSTITUTES, AND UNIVERSITY RESEARCH FACILITIES.

6. BASED ON ABOVE APPROACH, MEETING SCHEDULE FOR WEEK OF APRIL 7 WOULD BE AS FOLLOWS:

APRIL 7 AM AND PM	TOPICAL BRIEFINGS
APRIL 8 AM	TOPICAL BRIEFINGS
APRIL 8 PM	DISCUSSION
APRIL 9 AM	DISCUSSION
APRIL 9 PM	DISCUSSION MEETING WITH INDUSTRY RESEARCH DIRECTORS

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APRIL 10 AM AND PM	RECESS - PLANT OR LABORATORY VISITS
APRIL 11 AM AND PM	DISCUSSION AND ADOPTION OF RECORD

FONOFF HAS ADVISED THAT, WHILE MR. OKITA IS STILL EXPECTED TO DEPART SATURDAY APRIL 12, PANEL CAN MEET ON THAT DATE IF NECESSARY.

7. FONOFF IS AIMING FOR MARCH 7 CABINET APPROVAL OF JOINT ANNOUNCEMENT AND ISSUANCE SAME DAY IN WASHINGTON AND TOKYO. TEXT WILL BE AS IN REF A, WITH ADDITIONAL SENTENCE NAMING SIX MEMBERS OF EXECUTIVE COMMITTEE. PLEASE ADVISE SOONEST WHETHER THIS TIMING ACCEPTABLE.

8. EMBASSY UNDERSTANDS USG AGENCIES HAVE PREPARED OR ARE PREPARING INVENTORIES OF US-JAPAN SCIENTIFIC COOPERATION. WE BELIEVE IT WOULD BE MOST DESIRABLE TO MAKE THESE AVAILABLE TO GOJ FOR REVIEW WELL IN ADVANCE OF MEETING AND WOULD APPRECIATE RECEIVING THEM AS SOON AS THEY BECOME AVAILABLE.
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